#5

Substitute for Form 1449A/PTO (Modified)

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

2

Com	plete if Known	
Application Number	09/540,613	10
Filing Date	3/31/00	10116
First Named Inventor:	Ellison	CO
Group Art Unit	2782	MAR 2 5 2002 5
Examiner Name		
Attorney Docket Number	042390.P8628	RADEMARK

Exam. Initial*	Cite U.S. Patent Document		nent Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant
ınıuaı"	NO.	Number Kind		MM-DD-YYYY	Passages or Relevant
		Code <sup>2</sup> (If ki	nown)		Figures Appear
ECT		4,278,837	Best	7/14/81	
1		4,521,852	Guttag	6/4/85	
		5,022,077	Bealkowski et al.	6/4/91	
		5,255,379	Melo	10/19/93 <b>DE</b>	CEIVED
		5,293,424	Holtey et al.	3/8/94	
		5,421,006	Jablon et al.	5/30/95 MAI	2 6 2002
1		5,459,869	Spilo	10/17/95	
		5,473,692	Davis	12/5/95 <b>Technolo</b>	ay Contar 2100
		5,479,509	Ugon	12/26/95 50111010	By Deliter 2100
		5,568,552	Davis	10/22/96	
7		5,615,263	Takahashi	3/25/97	,
		5,657,445	Pearce	8/12/97	
		5,717,903	Bonola	2/10/98	
		5,729,760	Poisner	3/17/98	<u> </u>
		5,764,969	Kahle et al.	6/9/98	
		5,796,845	Serikawa et al.	8/18/98	
		5,805,712	Davis	9/8/98	
		5,835,594	Albrecht et al.	11/10/98	
J		5,844,986	Davis	12/1/98	

			 FOREIGN PATENT DOCUMEN	NTS		
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Doc Office <sup>3</sup> Number <sup>4</sup> K	 Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
FCS		EP1146715	European Patent Application	10/17/01		
1		WO0062232	PCT	10/19/00		
		WO9905600	PCT	2/4/99		
		WO9957863	PCT	11/11/99		
V		WO9844402	PCT	10/8/98		
<b>-</b>						

	Examiner Signature	12	Date Considered  27 5 AN 204
--	-----------------------	----	------------------------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitut for Form 1449A/PTO (Modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

C m	plete if Known	(IDE)
Application Number	09/540,613	10,, 6
Filing Date	3/31/00	2002
First Named Inventor:	Ellison	MAR 2 5 2002
Group Art Unit	2782	E
Examiner Name		TRADEMAK
Attorney Docket Number	042200 08628	

Sheet	2		of	2	Attorney Docket Nu	mber	042390.P86	528
				U.S. PA	TENT DOCUMENTS			
Exam. Initial*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document			Publication of Document	Pages, Columns, Lines, Where Relevant
	110.		l Code <sup>2</sup> nown)	`	or orea bosamone		DD-YYYY	Passages or Relevant Figures Appear
ELT		5,937,063		Davis	•	8/10/99	)	
		5,978,481		Ganesan e	t al.	11/2/99	9 _	
		6,014,745		Ashe		1/11/00	$\mathbf{R}$	ECHIVED
		6,058,478		Davis	· · · · · · · · · · · · · · · · · · ·	5/2/00		
1 1		6,158,546		Hanson et	al.	12/12/0	00	MAR 2 6 2002
		6,175,925 B1		Nardone et	al.	1/16/01		
		6,178,509		Nardone et	al.	1/23/01	Tech	nology Center 210
		6,205,550 B1		Nardone et	al.	3/20/01		
		6,282,651		Ashe		8/28/01		
	,	6,282,657 B1		Kaplan et a	l.	8/28/01		
4		US2001003745		Metlitski et	al.	11/1/01		

	FOREIGN PATENT DOCUMENTS												
Exam. Initial*	Cite No.1	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)		Code <sup>5</sup>		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>°</sub>					
			<del></del>										

(		
Examiner	$\mathcal{P} \not\sim \mathscr{I}$	Date Considered
Signature	C ( Lun	27 SAN 04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

RECEIVED

APR 1 0 2002

Technology Center 2100

• ,	•							#
Substit	ute for F	orm 1449A/PTO	(Modified)			Comple	te if Known	
l ini	FOR	MATION E	DISCL	OSURE	Application Number		09/540,613	
					Filing Date		March 31, 2	000
\ \&`	TATE	MENT BY	APP	LICANT	First Named Inventor:		Carl M. Elli	son
/ <sup>O</sup>	(	use as many sheets	as necessa	nry)	Group Art Unit		2782	
A A	PR 0 9 21	102 벌			Examiner Name		Unassigned	
Sheet	1	8/	of :	2	Attorney Docket Numb	er	042390.P86	28
Sheet	TE TRAD	EMARY		U.S. PATEI	NT DOCUMENTS			
Exam. Initial*	Cite No. <sup>1</sup>	Number K	cument (ind (f known)		tentee or Applicant ed Document	Cited	Publication of Document DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ELT		4,307,214		Birney, et al.		7/19/97	7	
		4,366,537		Heller, et al.		12/28/8	32	
		5,075,842		Lai		12/24/9	91	
		5,890,189		Nozue, et al.		3/30/99	•	
		5,987,557		Ebrahim		11/16/9	99	

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Cod (If know		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
ECT		EP0600112	Seimens Nixdorf InformationSysteme	8/6/94		
ECT		WO9909482	Seimens Nixdorf InformationSysteme	2/25/99		
						-

Examiner	No of	Date Considered	
Signature	[ [ ]	an 27 JAN '04	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



Substitute fo	r Form 14	149A/PT	O (Modifie	ed)	Сотр	lete if Kn	wn	
INFO	RMAT	ION	DISC	LOSURE	Application Number	09/540,61	3	
70 5	<b>\</b>				Filing Date	March 31,	2000	
STATEMENT BY APPLICANT (use as many sheets as necessary)  APR 0 9 2002				PLICANT	First Named Inventor:	Carl M. E	llison	
				ssary)	Group Art Unit	2782		
MIN U 3,2	00Z Ž				Examiner Name			
Street 2	2 187		of	2	Attorney Docket Number	042390.P8	3628	
OA TPAO			OTHE	R ART – NO PATE	NT LITERATURE DOCUMEN	NTS		
Examiner Initials*	Cite No <sup>1</sup>	ite	m (book, r	magazine, journal, se number(s), publi	AL LETTERS), title of the article rial, symposium, catalog, etc.), dasher, city and/or country where processor User's Manual," Ap	ate, page(s), ublished	volume-issue	T <sup>2</sup>
ECT				34449, pp. 61-97.	processor osers manual, Ap	, 1995,		
						RECE APR 1		
						echnology (	Center 2100	
Examiner Signature			67	Lun	Dat Con	e sidered	27 JAN 'C	)4

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449A/PTO (Modified)

MAY 0 6 2003 INFORMATION DISCLÖSURE STATEMENT BY APPLICAMENDED

(use as many sheets as necessary)

Complete if Known Application Number 09/540,613 Filing Date March 31, 2000 Carl M. Ellison RECEIVED First Named Inventor: Group Art Unit 2782 **Examiner Name** Unassigned

042390.P8628 1 Attorney Docket Number Sheet 1 of

				U.S. PATENT DOCUMENTS		<sup>4</sup> Soliina.
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document  Number Kind Code² (If known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ECT		6,505,279		Phillips et al.	01-07-2003	
,	-	5,079,737		Hackbarth	01-07-1992	
1		5,628,022		Ueno et al.	05-06-1997	
		6,192,455		Bogin et al.	02-20-2001	
		6,085,296		Karkhanis et al.	07-04-2000	
		6,148,379		Schimmel	11-14-2000	
		6,499,123		McFarland et al.	12-24-2002	
		6,339,816		Bausch	01-15-2002	
_		6,125,430		Noel et al.	09-26-2000	
		5,852,717		Bhide et al.	12-22-1998	
1		5,386,552		Garney	01-31-1995	
		4,037,214		Birney et al.	07-19-1977	
		6,249,872		Wildgrube et al.	07-19-2001	
		6,055,637		Hudson et al.	04-25-2000	
		5,757,919		Herbert et al.	05-26-1998	
		5,737,760		Grimmer, Jr. et al.	04-07-1998	
		6,292,874		Barnett	09-18-2001	
		6,272,533		Browne	08-07-2001	
		6,339,815		Feng et al.	01-15-2002	
		5,872,994		Akiyama et al.	02-16-1999	,
		6,301,646		Hostetter	10-09-2001	
		6,321,314		Van Dyke	11-20-2001	
		2001/0021969		Burger et al.	09-13-2001	
$\neg$		2001/0027527		Khidekel et al.	10-04-2001	

FOREIGN PATENT DOCUMENTS												
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>						
ECT		WO 97/29567	Fortress U & T Ltd.	08-14-1997								
1		WO 01/75565	Intel Corporation	10-11-2001								
		WO 01/75595	Intel Corporation	10-11-2001								
		WO 01/27723	Hewlett-Packard Company	04-19-2001								
V		WO 01/27821	Hewlett-Packard Company	04-19-2001								

	Examiner Signature	Date Considered	27 5gw '0	4
--	-----------------------	-----------------	-----------	---

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.